

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): John M. Koegler III et al

Confirmation No.: 8167

Application No.: 10/661753

Examiner: Not Yet Assigned

Filing Date: Sep 12, 2003

Group Art Unit: 2655

Title: Optical Disk Modified For Speed And Orientation Tracking

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
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Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 01/23/2005

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Respectfully submitted,

John M. Koegler III et al

By Robert C. Sismilich

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Attorney/Agent for Applicant(s)

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Date: 1/23/05

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PTO/SB/08A (08-03)

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STATEMENT BY APPLICANT**

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of

Application Number	10/661753
Filing Date	09/12/2003
First Named Inventor	Koegler III et al.
Art Unit	2655
Examiner Name	Not Yet Assigned
Attorney Docket Number	200310760-1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)			
		US- US2002/030705	03-14-2002	Bradshaw et al.	
		US- US2004/141045	07-22-2004	Darwin Mitchel Hanks	
		US- US2003/133005	07-17-2003	Barry Bronson	
		US- US2003/156531	08-21-2003	Gary W. Ferguson	
		US- US6,041,703	03-28-2000	Salisbury et al.	
		US- US5,611,066	03-11-1997	Keele et al.	
		US- US5,646,920	07-08-1997	Walter Raczynski	
		US- US2003/161224	08-28-2003	Johnson et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP1,308,938	05-07-2003	Yamaha Corp.		
		WO98/09895	03-12-1998	Wea Mfg. Inc.		
		PCT/US2004/027822				
		Search Report	08-26-2004	Hewlett-Packard		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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